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Dated: January 3, 2002

Signature:

(Kimberly V. Perry)



Docket No.: EMCORE 3.0-047  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
*Mark Gottfried*

Application No.: 09/976,342

Filed: October 12, 2001

For: METHOD OF MAKING AN ELECTRODE

Group Art Unit:

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JAN 31 2002  
OFFICE OF PETITIONS

Commissioner for Patents  
Washington, DC 20231

**NOTIFICATION OF ERROR IN PAYMENT OF FEES  
AS A SMALL ENTITY (37 C.F.R. §1.28(c))**

Sir:

On October 12, 2001, an assertion of small entity status was filed in this application. Our deposit account was charged in accordance with the assertion of small entity status. This assertion of small entity status in this application and the payment of fees as a small entity were made in good faith. It has now been discovered that such status as a small entity was lost on January 1, 2001. Accordingly, please charge \$559 to applicant's attorney's Deposit Account No. 12-1095 for the deficiency between the amount of fees paid and the amount due as calculated below:

01/31/2002 SLURNG1 00000004 121095 09976342

01 FC:101 740.00 CH  
02 FC:103 378.00 CH

Adjustment date: 02/27/2002 AKELLEY  
02/15/2002 NADHAM1 00000041 121095 09976342  
01 FC:205 65.00 CR

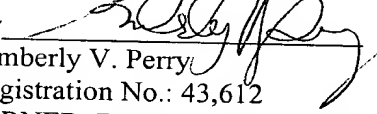
02/27/2002 AKELLEY 00000019 121095 09976342  
01 FC:105 130.00 CH

Fees	Fee amount due	Fee actually paid as a small entity	Deficiency owed
Basic filing fee paid 10/12/01	\$740	\$370	\$370
Claims in excess of 20 paid on 10/12/01	\$378	\$189	\$189
Total			\$559

If there are any additional charges, the Examiner is authorized to charge Deposit Account No. 12-1095 therefor.

Dated: January 3, 2002

Respectfully submitted,

By   
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